

DISCLOSURE AND CONSENT - MEDICAL AND SURGICAL PROCEDURES

TO THE PATIENT: You have the right as a patient to be informed about your condition and the recommended surgical, medical or diagnostic procedure to be used so that you may make the decision whether or not to undergo the procedure after knowing the risks and hazards involved. This disclosure is not meant to scare or alarm you; it is simply an effort to make you better informed so you may give or withhold your consent to the procedure.

1. I (we) voluntarily request Doctor(s) _____ as my physician(s), and such associates, technical assistants and other health care providers as they may deem necessary to treat my condition which has been explained to me (us) as (lay terms): Blood clot formation in the heart due to arrhythmia (abnormal heart beats)

2. I (we) understand that the following surgical, medical, and/or diagnostic procedures are planned for me and I (we) voluntarily consent and authorize these procedures (lay terms): Placement of a device to prevent clot formation (left Atrial Appendage Closure)

Please check appropriate box: Right Left Bilateral Not Applicable

3. I (we) understand that my physician may discover other different conditions which require additional or different procedures than those planned. I (we) authorize my physician, and such associates, technical assistants and other health care providers to perform such other procedures which are advisable in their professional judgment.

4. Please initial Yes No

I consent to the use of blood and blood products as deemed necessary. I (we) understand that the following risks and hazards may occur in connection with the use of blood and blood products:

- a. Serious infection including but not limited to Hepatitis and HIV which can lead to organ damage and permanent impairment.
- b. Transfusion related injury resulting in impairment of lungs, heart, liver, kidneys and immune system.
- c. Severe allergic reaction, potentially fatal.

5. I (we) understand that no warranty or guarantee has been made to me as to the result or cure.

6. Just as there may be risks and hazards in continuing my present condition without treatment, there are also risks and hazards related to the performance of the surgical, medical, and/or diagnostic procedures planned for me. I (we) realize that common to surgical, medical and/or diagnostic procedures is the potential for infection, blood clots in veins and lungs, hemorrhage, allergic reactions, and even death. I (we) also realize that the following hazards may occur in connection with this particular procedure: Pain, severe bleeding, infection, failure of procedure, need for further procedures, air embolism, allergic reaction to contrast media/medications or device materials, anemia requiring transfusion, angina, anoxic encephalopathy, arrhythmias, atrial septal defect, AV fistula, bruising, hematoma or seroma, cardiac perforation, chest pain/discomfort, congestive heart failure, contrast related nephropathy, cranial bleed, deep vein thrombosis, death, device embolism, device fracture, device thrombosis, edema, fever, groin pain, groin puncture, hematuria, hemoptysis, hypotension, inability to reposition, recapture or retrieve device, infection/pneumonia, interatrial septum thrombus, major bleeding requiring transfusion, misplacement of the device / improper seal of the appendage/ movement of device from appendage wall, myocardial erosion, pericardial effusion/ tamponade, pleural effusion, prolonged bleeding from a laceration, pseudoaneurysm, pulmonary edema, renal failure, respiratory insufficiency/failure, surgical removal of the device. Stroke - ischemic/hemorrhagic, system embolism. There may be other potential adverse events that are unforeseen at this time.

7. I (we) understand that Do Not Resuscitate (DNR), Allow Natural Death (AND) and all resuscitative restrictions are suspended during the perioperative period and until the post anesthesia recovery period is complete. All resuscitative measures will be determined by the anesthesiologist until the patient is officially discharged from the post anesthesia stage of care.



Watchman Device Placement (cont.)

8. I (we) authorize University Medical Center to preserve for educational and/or research purposes, or for use in grafts in living persons, or to otherwise dispose of any tissue, parts or organs removed except _____
None
9. I (we) consent to the taking of still photographs, motion pictures, videotapes, or closed circuit television during this procedure.
10. I (we) give permission for a corporate medical representative to be present during my procedure on a consultative basis.
11. I (we) have been given an opportunity to ask questions about my condition, alternative forms of anesthesia and treatment, risks of non-treatment, the procedures to be used, and the risks and hazards involved, potential benefits, risks, or side effects, including potential problems related to recuperation and the likelihood of achieving care, treatment, and service goals. I (we) believe that I (we) have sufficient information to give this informed consent.
12. I (we) certify this form has been fully explained to me and that I (we) have read it or have had it read to me, that the blank spaces have been filled in, and that I (we) understand its contents.

If I (we) do not consent to any of the above provisions, that provision has been corrected.

I have explained the procedure/treatment, including anticipated benefits, significant risks and alternative therapies to the patient or the patient's authorized representative.

_____ A.M. (P.M.) _____
Date Time Printed name of provider/agent Signature of provider/agent

_____ A.M. (P.M.) _____
Date Time

*Patient/Other legally responsible person signature Relationship (if other than patient)

*Witness Signature Printed Name

- UMC 602 Indiana Avenue, Lubbock, TX 79415 TTUHSC 3601 4th Street, Lubbock, TX 79430
- UMC Health & Wellness Hospital 11011 Slide Road, Lubbock TX 79424
- OTHER Address: _____
Address (Street or P.O. Box) City, State, Zip Code

Interpretation/ODI (On Demand Interpreting) Yes No _____
Date/Time (if used)

Alternative forms of communication used Yes No _____
Printed name of interpreter Date/Time

Date procedure is being performed: _____





UNIVERSITY MEDICAL CENTER
Lubbock, Texas

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DISCLOSURE AND CONSENT

ANESTHESIA and/or PERIOPERATIVE PAIN MANAGEMENT (ANALGESIA)

TO THE PATIENT: You have the right as a patient to be informed about your condition and the recommended anesthetic/analgesia to be used so that you may make the decision whether or not to receive the anesthesia/analgesia after knowing the risks and hazards involved. This disclosure is not meant to scare or alarm you; it is simply an effort to make you better informed so you may give or withhold your consent to the anesthesia/analgesia.

ADMINISTRATION OF ANESTHESIA/ANALGESIA

The plan is for the anesthesia/analgesia to be administered by (Note that the provider listed may change depending on the length of the procedure or other circumstances). I acknowledge that other anesthesia care team members in an anesthesiology residency, medical, Certified Registered Nurse Anesthetist (CRNA), and/or paramedical training program may participate in the care provided to me under the medical oversight of an attending physician at UMC. Non-CRNA nurse sedation is governed by a qualified medical provider. Perioperative means the period shortly before, during and shortly after the procedure.

CHECK THE PLANNED APPROACH AND HAVE THE PATIENT/LEGALLY AUTHORIZED REPRESENTATIVE INITIAL:

(Check one)

- _____ Physician Anesthesiologist Dr. _____ /Faculty, Texas Tech Physicians, Dept of Anesthesiology [NAME]
- _____ Dentist Anesthesiologist Dr. _____ [NAME]
- _____ Non-Anesthesiologist Physician or Dentist Dr. _____ [NAME]

(Check all that apply if the administration of anesthesia/analgesia is being delegated/supervised/medically directed by the above provider)

- _____ Certified Anesthesiologist Assistant: _____ Provider, TTUHSC, Department of Anesthesiology [NAME]
- _____ Certified Registered Nurse Anesthetist: _____ Provider, TTUHSC, Department of Anesthesiology [NAME]
- _____ Physician in Training: _____ TTUHSC, Department of Anesthesiology [NAME]

The above provider(s) can explain the different roles of the providers and their levels of involvement in administering the anesthesia/analgesia.

Types of Anesthesia/Analgesia Planned and Related Topics

I understand that anesthesia/analgesia involves additional risks and hazards. The chances of these occurring may be different for each patient based on the procedures(s) and the patient’s current health. I realize the type of anesthesia/analgesia may have to be changed possibly without explanation to me.

I (we) understand that serious, but rare, complications can occur with all anesthetic/analgesic methods. Some of these risks are breathing and heart problems, drug reactions, nerve damage, cardiac arrest (heart stops beating), brain damage, paralysis (inability to move), or death.

I (we) understand that Do Not Resuscitate (DNR), Allow Natural Death (AND) and all resuscitative restrictions are suspended during the perioperative period and until the post anesthesia recovery period is complete. All resuscitative measures will be determined by the anesthesiologist until the patient is officially discharged from the post anesthesia stage of care.

I (we) also understand that other complications may occur. Those complications include but are not limited to:

Check planned anesthesia/analgesia method(s) and have the patient/other legally responsible person initial.

- _____ **GENERAL ANESTHESIA:** injury to vocal cords, teeth, lips, eyes; awareness during the procedure; memory dysfunction /memory loss; permanent organ damage; brain damage.
- _____ **REGIONAL BLOCK ANESTHESIA / ANALGESIA:** nerve damage; persistent pain; bleeding/ hematoma; infection; medical necessity to convert to general anesthesia; brain damage.
LOCATION: _____
- _____ **SPINAL ANESTHESIA / ANALGESIA:** nerve damage; persistent back pain; headache; infection; bleeding/epidural hematoma; chronic pain; medical necessity to convert to general anesthesia; brain damage.
- _____ **EPIDURAL ANESTHESIA / ANALGESIA:** nerve damage; persistent back pain; headache; infection; bleeding /epidural hematoma; chronic pain; medical necessity to convert to general anesthesia; brain damage.
- _____ **MONITORED ANESTHESIA CARE (MAC) or SEDATION / ANALGESIA:** memory dysfunction/memory loss; medical necessity to convert to general anesthesia; permanent organ damage; brain damage.
- _____ **DEEP SEDATION:** memory dysfunction/memory loss; medical necessity to convert to general anesthesia; permanent organ damage; brain damage.
- _____ **MODERATE SEDATION:** memory dysfunction/memory loss; medical necessity to convert to general anesthesia; permanent organ damage; brain damage.





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ANESTHESIA and/or PERIOPERATIVE PAIN MANAGEMENT (ANALGESIA) (cont.)

Additional comments/risks:

I (we) understand that no promises have been made to me as to the result of anesthesia/analgesia methods.

I (we) have been given an opportunity to ask questions about my anesthesia/analgesia methods, the procedures to be used, the risks and hazards involved, and alternative forms of anesthesia/analgesia. I believe that I have sufficient information to give this informed consent.

Anesthesia Risks for Young Children and During the Third Trimester of Pregnancy

I (we) have been informed of the potential adverse effect of anesthesia in young children especially for procedures that may last longer than 3 hours or if multiple procedures are required. I have been informed that the use of general anesthetic and sedation drugs in children younger than 3 years or in pregnant women during their third trimester may affect the development of children's brains.

I have received the FDA Drug Safety Communication bulletin detailing the risks of general anesthesia on brain development in children under the age of 3 years or in third trimester pregnant women.

() Yes () Not Applicable

Pregnancy Risks (for women of childbearing age)

It is recommended that elective surgery be delayed until after pregnancy. No one knows the exact risk of birth defects or the possibility of spontaneous abortion from anesthesia. No anesthesia drug or technique can be assured to be safe.

I have read the risks of anesthesia in pregnancy and have been offered a pregnancy test.

Pregnant () Yes () No () Do not know () Not applicable

This form has been fully explained to me, I have read it or have had it read to me, the blank spaces have been filled in, and I understand its contents.

***DATE** _____ **TIME:** _____ **A.M. or P.M.**

*PATIENT/OTHER LEGALLY RESPONSIBLE PERSON SIGN

RELATIONSHIP (if other than patient)

*Witness Signature

Printed Name

- UMC 602 Indiana Avenue, Lubbock, TX 79415 TTUHSC 3601 4th Street, Lubbock, TX 79430
- UMC Health & Wellness Hospital 11011 Slide Road, Lubbock TX
- GI & Outpatient Services Center 10206 Quaker Ave, Lubbock TX 79424
- OTHER Address: _____

Address (Street or P.O. Box)

City, State, Zip Cod

Interpretation/ODI (On Demand Interpreting) Yes No _____
Date/Time (if used)

Alternative forms of communication used Yes No _____
Printed name of interpreter Date/Time

Date procedure is being performed: _____



Date _____

Resident and Nurse Consent/Orders Checklist

Instructions for form completion

Note: Enter “not applicable” or “none” in spaces as appropriate. Consent may not contain blanks.

- Section 1: Enter name of physician(s) responsible for procedure and patient’s condition in lay terminology. Specific location of procedure must be indicated (e.g. right hand, left inguinal hernia) & **may not be abbreviated.**
- Section 2: Enter name of procedure(s) to be done. Use lay terminology.
- Section 3: The scope and complexity of conditions discovered in the operating room requiring additional surgical procedures should be specific to diagnosis.
- Section 5: Enter risks as discussed with patient.
- A. Risks for procedures on List A must be included. Other risks may be added by the Physician.
- B. Procedures on List B or not addressed by the Texas Medical Disclosure panel do not require that specific risks be discussed with the patient. For these procedures, risks may be enumerated or the phrase: “As discussed with patient” entered.
- Section 8: Enter any exceptions to disposal of tissue or state “none”.
- Section 9: An additional permit with patient’s consent for release is required when a patient may be identified in photographs or on video.

Provider Attestation: Enter date, time, printed name and signature of provider/agent.

Patient Signature: Enter date and time patient or responsible person signed consent.

Witness Signature: Enter signature, printed name and address of competent adult who witnessed the patient or authorized person’s signature

Performed Date: Enter date procedure is being performed. In the event the procedure is NOT performed on the date indicated, staff must cross out, correct the date and initial.

If the patient does **not** consent to a specific provision of the consent, the consent should be rewritten to reflect the procedure that the patient (authorized person) is consenting to have performed.

For additional information on informed consent policies, refer to policy SPP PC-17.

Consent

| | |
|---|--|
| <input type="checkbox"/> Name of the procedure (lay term) | <input type="checkbox"/> Right or left indicated when applicable |
| <input type="checkbox"/> No blanks left on consent | <input type="checkbox"/> No medical abbreviations |

Orders

| | |
|---|---|
| <input type="checkbox"/> Procedure Date | <input type="checkbox"/> Procedure |
| <input type="checkbox"/> Diagnosis | <input type="checkbox"/> Signed by Physician & Name stamped |

Nurse _____ Resident _____ Department _____